

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)		<b>Complete if Known</b>	
		Application Number	10/737,262
		Filing Date	12/15/03
		First Named Inventor	Leonidas Stefanis
		Art Unit	<del>1632</del> 1649
		Examiner Name	to be assigned Daniel Kolker
Sheet 1	of 17	Attorney Docket Number	5199-26

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
DK		Ancolio et al., alpha-Synuclein and the Parkinson's disease-related mutant Ala53Thr-alpha-synuclein do not undergo proteasomal	
		degradation in HEK293 and neuronal cells.	
		Neurosci. Lett., 285: 79-82, 2000	
		Anglade et al., Apoptosis and autophagy in nigral neurons of patients with Parkinson's disease.	
		Histol. Histopathol., 12: 25-31, 1997	
		Baba et al., Aggregation of alpha-synuclein in Lewy bodies of sporadic Parkinson's disease and dementia with Lewy bodies.	
		Am. J. Pathol., 152: 879-884, 1998	
		Brunk et al., Exposure of cells to nonlethal concentrations of hydrogen peroxide induces degeneration-repair mechanisms involving lysosomal	
		destabilization.	
✓		Free Radic. Biol. Med., 19: 813-822, 1995	

Examiner Signature	<i>Daniel E. Kolker</i>	Date Considered	2/2/06
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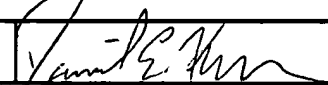
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DK		Burke, Apoptosis in degenerative diseases of the basal ganglia.	
		The Neuroscientist, 4: 301-311, 1998	
		Bursch et al., programmed cell death (PCD). Apoptosis, autophagic PCD, or others?	
		Ann. NY Acad. Sci., 926: 1-12, 2000	
		Cavanagh et al., Routes of excretion of neuronal lysosomal dense bodies after ventricular infusion of leupeptin in the rat: a study using ubiquitin and	
		PGP 9.5 immunocytochemistry.	
		J. Neurocytol., 22: 779-791, 1993	
		Ciechanover, The ubiquitin-proteasome pathway: on protein death and cell life.	
		EMBO J., 17: 7151-7160, 1998	

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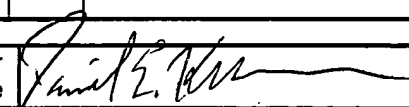
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		Trends Neurosci., 21: 249-254, 1998	
		Clayton and George, Synucleins in synaptic plasticity and neurodegenerative disorders.	
		J. Neurosci. Res., 58: 120-129, 1999	
		Clarke, Developmental cell death: morphological diversity and multiple mechanisms.	
		Anat. Embryol. (Berl), 181: 195-213, 1990	
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		Nat. Med., 11: 1318-1320, 1998	
		Fahn and Przedborski, Parkinsonism. In: Merritt's textbook of neurology, Ed 10 (Rowland LP, ed), pp 679-693. Philadelphia: Williams & Wilkins 2000	

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DK		Figueiredo-Pereira et al., A new inhibitor of the chymotrypsin-like activity of the multicatalytic proteinase complex (20S proteasome) induces	
		accumulation of ubiquitin-protein conjugates in a neuronal cell.	
		J. Neurochem., 63: 1578-1581, 1994	
		Fon et al., Vesicular transport regulates monoamine storage and release but is not essential for amphetamine action.	
		Neuron, 19: 1271-1283, 1997	
		Forno and Norville, Ultrastructure of Lewy bodies in the stellate ganglion.	
		Acta. Neuropathol. (Berl), 34: 183-197, 1976	
		Furlong et al., Alpha-synuclein over expression promotes aggregation of mutant huntingtin.	
✓		Biochem. J., 15: 577-581, 2000	

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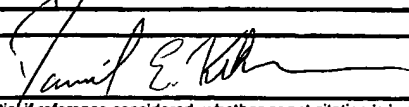
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		J. Neurochem., 75: 2221-2224, 2000	
		Goldstein and Greene, Activation of tyrosine hydroxylase by phosphorylation. In: Psychopharmacology: a third generation of progress	
		(Meltzer H, ed), pp 75-80. New York: Raven, 1987	
		Greene and Tischler, Establishment of a noradrenergic clonal line of rat adrenal pheochromocytoma cells which respond to nerve growth factor.	
		Proc. Natl. Acad. Sci. USA 73: 2424-2428, 1976	
		Greene, et al., Culture and experimental use of the PC12 rat pheochromocytoma cell line. In: Culturing nerve cells (Banker G, Goslin K, eds), pp 161-189.	
		Cambridge, MA: MIT Press, 1998; Stefanis et al., 2001, supra).	
		Hornykiewicz, Dopamine (3-hydroxytyramine) and brain function.	
✓		Pharmacol. Rev., 18: 925-965, 1996	

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		Am. J. Pathol., 157: 401-410, 2000	
		Imai et al., An unfolded putative transmembrane polypeptide, which can lead to endoplasmic reticulum stress, is a substrate of Parkin.	
		Cell, 105: 891-902, 2001	
		Kanda et al., Enhanced vulnerability to oxidative stress by alpha-synuclein mutations and C-terminal truncation.	
		Neuroscience, 97: 279-284, 2000	
		Kegel et al., Huntingtin expression stimulates endosomal-lysosomal activity, endosome tabulation, and autophagy.	
		J. Neurosci., 20: 7268-7278, 2000	
		Kitada et al., Mutations in the parkin gene cause autosomal recessive juvenile parkinsonism.	
		Nature, 392: 605-608, 1998	

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		Nature, 395: 451-452, 1998	
		Masliah et al., Dopaminergic loss and inclusion body formation in alpha-synuclein mice: implications for neurodegenerative disorders.	
		Science, 287: 1265-1269, 2000	
		Matsuoka et al., Lack of nigral pathology in transgenic mice expressing human alpha-synuclein driven by the tyrosine hydroxylase promoter.	
		Neurobiol. Dis., 8: 535-539, 2001	
		Mayer et al., Ubiquitin, lysosomes, and neurodegenerative diseases.	
		Ann. NY Acad. Sci., 674: 149-160, 1992	
		McNaught and Jenner, Proteasomal function is impaired in substantia nigra in Parkinson's disease.	
		Neurosci. Lett., 297: 191-194, 2001	

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		Nat. Rev. Neurosci., 2: 589-594, 2001	
		Neystat, et al., Alpha-synuclein expression in substantia nigra and cortex in Parkinson's disease.	
		Mov. Disord., 14: 417-422, 1999	
		Ohsawa et al., An ultrastructural and immunohistochemical study of PC12 cells during apoptosis induced by serum deprivation with special reference	
		to autophagy and lysosomal cathepsins.	
		Arch. Histol. Cytol., 61: 395-403, 1998	
		Ohtani-Kaneko et al., Proteasome inhibitors which induce neurite outgrowth from PC12h cells cause different subcellular accumulations of	
		multi-ubiquitin chains.	
		Neurochem. Res., 23: 1435-1443, 1998	

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		J. Neurosci., 19: 5782-5791, 1999	
		Ostrerova-Golts et al., The A53T alpha-synuclein mutation increases iron-dependent aggregation and toxicity.	
		J. Neurosci., 20: 6048-6054, 2000	
		Papadimitriou et al., Mutated alpha-synuclein gene in two Greek kindreds with familial PD: incomplete penetrance? Neurology, 52: 651-654, 1999	
		Neurology, 52: 651-654, 1999	
		Polymeropoulos et al., Mutation in the alpha-synuclein gene identified in families with Parkinson's disease.	
		Science, 276: 2045-2047, 1997	
		Pothos et al., Synaptic vesicle transporter expression regulates vesicle phenotype and quantal size.	
		J. Neurosci., 20: 7297-7306, 2000	

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Sheet 10 of 17	Attorney Docket Number	5199-26	

NON PATENT LITERATURE DOCUMENTS			
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DK		Rathke-Hartlieb et al., Sensitivity to MPTP is not increased in Parkinson's disease-associated mutant-synuclein transgenic mice.	
		J. Neurochem., 77: 1181-1184, 2001	
		Rideout et al., Proteasomal inhibition leads to formation of ubiquitin / alpha-synuclein-immunoreactive inclusions in PC12 cells.	
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		Rukenstein et al., Multiple agents rescue PC12 cells from serum-free cell death by translation- and transcription-independent mechanisms.	
		J. Neurosci., 11: 2552-2563, 1991	
		Saha et al., Induction of neuronal death by alpha-synuclein.	
		Eur. J. Neurosci., 12: 3073-3077, 2000	
		Seglen et al., Structural aspects of autophagy.	
✓		Adv. Exp. Med. Biol., 389: 103-111, 1996	

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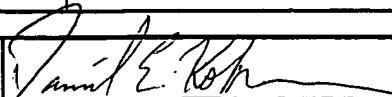
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Substitute for form 1449/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)		<b>Complete if Known</b>	
		Application Number	10/737,262
		Filing Date	12/15/03
		First Named Inventor	Leonidas Stefanis
		Art Unit	<del>1632</del> 1649
		Examiner Name	to be assigned
Sheet 11 of 17	Attorney Docket Number	5199-26	

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DK		Shimura et al., Ubiquitination of a new form of alpha-synuclein by parkin from human brain: implications for parkinson's disease.	
		Science, 293: 263-269, 2001	
		Shimura et al., Familial Parkinson disease gene product, parkin, is an ubiquitin-protein ligase.	
		Nat. Genet., 25: 302-305, 2000	
		Spillantini et al., Alpha-Synuclein in Lewy bodies.	
		Nature, 388: 839-840, 1997	
		Stefanis et al., Synuclein 1 is selectively upregulated in response to NGF treatment in PC12 cells.	
✓		J. Neurochem., 76: 1165-1176, 2001	

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OK		Stefanis et al., Caspase-2 (Nedd2) processing and death of trophic factor-deprived PC12 cells and sympathetic neurons occur independently	
		of caspase-3 (CPP-32)-like activity.	
		J. Neurosci., 18: 9204-9215, 1998	
		Sulzer and Pothos, Presynaptic mechanisms that regulate quantal size.	
		Rev. Neurosci., 11: 159-212, 2000	
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		Tanaka et al., Inducible expression of mutant alpha-synuclein decreases proteasome activity and increases sensitivity to mitochondria-dependent	
		apoptosis.	
		Hum. Mol. Genet., 10: 919-926, 2001	

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		Art Unit	<del>1632</del> 1649
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JK		Tennyson et al., Structural abnormalities associated with congenital megacolon in transgenic mice that overexpress the Hoxa-4 gene.	
		Dev. Dyn., 98: 128-153, 1993	
		Tischler, et al., Morphological and cytochemical properties of a clonal line of rat adrenal pheochromocytoma cells which respond to nerve growth factor.	
		Lab. Invest., 39(2): 77-89, 1978	
		Trojanowski et al., Fatal attractions: abnormal protein aggregation and neuron death in Parkinson's disease and Lewy body dementia.	
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		Eur. J. Cell. Biol., 71: 311-318, 1996	

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DK		Xue et al., Autophagy is activated by apoptotic signaling in sympathetic neurons: an alternative mechanism of death execution.	
		Mol. Cell. Neurosci., 14: 180-198, 1999	
		Zhou et al., Over expression of human alpha-synuclein causes dopamine neuron death in rat primary culture and immortalized mesencephalon-	
		derived cells.	
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		Batistatou and Greene, Aurintricarboxylic acid rescues PC12 cells and sympathetic neurons from cell death caused by nerve growth factor	
		deprivation: correlation with suppression of endonuclease activity.	
		J. Cell. Biol., 115: 461-471, 1991	
		Bennett et al., Degradation of alpha-synuclein by proteasome.	
✓		J. Biol. Chem., 274: 33855-33858, 1999	

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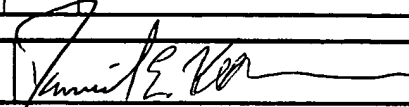
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DK		Drexler, Activation of the cell death program by inhibition of proteasome function.	
		Proc. Natl. Acad. Sci., USA, 94: 855-860, 1997	
		Giasson et al., Mutant and wild type human alpha-synucleins assemble into elongated filaments with distinct morphologies in vitro.	
		J. Biol. Chem., 274: 7619-7622, 1999	
		Greene, Nerve growth factor prevents the death and stimulates the neuronal differentiation of clonal PC12 pheochromocytoma cells in serum-free medium.	
		J. Cell Biol., 78: 747-755, 1978	
		Imai et al., Parkin suppresses unfolded protein stress-induced cell death through its E3 ubiquitin-protein ligase activity.	
✓		J. Biol. Chem., 276: 35661-35664, 2000	

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EK		Lopes et al., p53-dependent induction of apoptosis by proteasome inhibitors.	
		J. Biol.Chem., 272: 12893-12896, 1997	
		Mesner et al., Nerve growth factor withdrawal-induced cell death in neuronal PC12 cells resembles that in sympathetic neurons.	
		J. Cell. Biol., 119: 1669-1680, 1992	
		Obin et al., Neurite outgrowth in PC12 cells: distinguishing the roles of ubiquitylation and ubiquitin-dependent proteolysis.	
		J. Biol. Chem., 274: 11789-11795, 1999	
		Spillantini et al., Alpha-synuclein in filamentous inclusions of Lewy bodies from Parkinson's disease and dementia with Lewy bodies.	
		Proc. Natl. Acad. Sci. USA, 95: 6469-6473, 1998	

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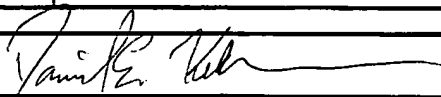
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		J. Biol. Chem., 271: 30663-30671, 1996	
		Ueda, et al., Molecular cloning of cDNA encoding an unrecognized component of amyloid in Alzheimer's Disease.	
		Proc. Natl. Acad. Sci. USA, 90: 11282-11286, 1993	
		Zhang et al., parkin functions as an E2-dependent ubiquitin-protein ligase and promotes the degradation of the synaptic vesicle-associated protein, CDCrel-1.	
✓		Proc. Natl. Acad. Sci. USA, 97: 13354-13359, 2000	

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